

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Vlad J. Novotny

Assignee: Active Optical Networks, Inc.

Title: "DEEP-WELL LITHOGRAPHY PROCESS FOR FORMING MICRO-ELECTRO-MECHANICAL STRUCTURES"

Serial No.: Unknown Filed: December 21, 2001

Examiner: Unknown Tel: Unknown

Docket No.: AO-001-2D Art Unit: Unknown

Box Patent Application
Assistant Commissioner For Patents
Washington, D.C. 20231

December 21, 2001

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicant brings the 23 documents listed on the enclosed forms PTO-1449 to the Examiner's attention in the above-captioned application. Citation of the listed documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

Copies of the listed documents on pages 1-3 are not provided because they were cited in a prior application (serial No. pending) entitled "Multi-Axis Micro-Electro-Mechanical Actuator," by Vlad J. Novotny and Yee-Chung Fu, filed December 20, 2001, that is relied upon for an earlier filing date under 35 U.S.C. §120.

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on December 21, 2001.

December 21, 2001
Date

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Respectfully submitted,

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